

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Docket No. 1038-865MIS)

**In the Application of:**

**Parrington, et al.**

**Serial No.: 09/190,246**

**Filed: 13 NOV 1998**

**For: ALPHAVIRUS VECTORS FOR  
PARAMYXOVIRUS VACCINES**

**Examiner: Micheal C. Wilson**

**Art Unit: 1632**

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§ 1.97-1.98**

**Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

***Transmitted via facsimile to 703-872-9306***

**Dear Sir:**

Pursuant to 37 C.F.R. §§ 1.97 - 1.98, the Applicants hereby petition to make the following references of record in the above-identified application. In compliance with 37 C.F.R. § 1.97(c), this IDS: 1) is being filed prior to issuance of a final office action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311; and, 2) is accompanied by the fee under 1.17(p) (\$180.00). This Information Disclosure Statement is in compliance with the duty of candor as set forth in 37 C.F.R. § 1.56. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing of the present application.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed

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in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. §102 or §103.

#### CITED REFERENCES

##### Other Documents:

1. Frolov, et al. Alphavirus-based expression vectors: Strategies and applications. Proc. Natl. Acad. Sci. USA 93: 11371-11377, Oct. 1996.

Respectfully Submitted,

Aventis Pasteur, Inc.

Date: Monday, November 10, 2003



Patrick J. Halloran  
Reg. No. 41,053

PTO/SB/OBA (08-03)

Approved for use through 07/31/2008. OMB 0851-0031

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet 1 of 2

**Complete If Known**

Application Number	09/190,246
Filing Date	11/13/1998
First Named Inventor	Mark Parrington
Art Unit	1632
Examiner Name	Michael C. Wilson
Attorney Docket Number	1038-865MIS

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. . Box 1450, Alexandria, VA 22313-1450.**

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